



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/930,335         | 08/15/2001          | Graham P. Matthews    | 4-30811A/C1            |

001095  
THOMAS HOXIE  
NOVARTIS CORPORATION  
PATENT AND TRADEMARK DEPT  
564 MORRIS AVENUE  
SUMMIT, NJ 079011027



CONFIRMATION NO. 1679  
FORMALITIES LETTER



\*OC000000006583322\*

Date Mailed: 09/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

*QW*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 BAERAKA1 00000106 190134 09930335

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N Sector/113  
CASE 4-30811A/C**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Oksana Sporniak  
Type or print name

*Oksana Sporniak*  
Signature

NOV. 30, 2001  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****IN RE APPLICATION OF****MATTHEWS ET AL.****APPLICATION NO: 09/930,335****FILED: AUGUST 15, 2001****FOR: SPONTANEOUSLY DISPERSIBLE N-BENZOYL STAUROSPORINE  
COMPOSITIONS**

**Attention: Box Missing Parts**  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

The Notice to File Missing Parts of Application - Filing Date Granted dated September 20, 2001 (a copy of which is enclosed) has a shortened statutory time set to expire on November 20, 2001.

A one-month extension is hereby requested pursuant to 37 CFR §1.136(a). Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$110 for payment of the extension fee.

In response, applicants now submit an original or copy of a fully executed Declaration and Power of Attorney. Please charge the \$130 surcharge fee under 37 CFR §1.16(e) to Deposit Account No. 19-0134 in the name of Novartis Corporation.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.17 which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis Corporation.

A duplicate copy of this letter is provided for charging purposes.

Respectfully submitted,

Novartis Corporation

Patent and Trademark Dept.  
564 Morris Avenue  
Summit, NJ 07901-1027  
(908) 522-6927

Date: *Nov. 30, 2001*

*Melvyn M. Kassenoff*

Melvyn M. Kassenoff  
Attorney for Applicants  
Reg. No. 26,389

Encl. Executed Declaration – 8 pgs  
Copy of Notice to File Missing Parts  
Receipt Post Card